# Applied Clustering for Automatic Speaker-Based Segmentation of Audio Material

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#### Abstract

In this paper, we introduce an algorithm dedicated to speaker-based segmentation of audio material. The algorithm consists in two distinct procedures namely splitting and merging. Its performance is assessed on broadcast news recordings provided by the British Broadcast Corporation (BBC). Results show that the splitting is performed with high accuracy and low missed detection rate while the merging procedure provides satisfying results.

## 1. Introduction

Speaker-based segmentation (also referred as speaker-tracking in the following) can be defined as splitting and labelling a spoken audio stream associated with an unknown number of unknown speakers into homogeneous regions according to speaker identity.

The algorithm presented in this paper has been developed in the framework of the THISL (THematic Indexing of Spoken Language) project [1]. The ultimate goal of this project was developing a system for indexation and retrieval of BBC Radio/TV recordings [2,3]. Material for indexation is obtained by automatic transcription of the recordings. In addition to the improvement of the recognition rate by speaker-adaptation<sup>1</sup> [4,5], speaker-tracking also provides additional information about the audio database. That is, speaker identity is a valuable indexation key. Other applications of speaker-based segmentation are automatic active subtilling of movies or active help for ear impaired people. For example, speaker-tracking allows to change the subtile colours dynamically according to speaker identity.

The proposed speaker-tracking algorithm can be summarized as follows. First, the audio stream is represented by a sequence of acoustic vectors. Next, speaker changes are found as changes in the statistical properties along the sequence of acoustic vectors: the so-called splitting procedure. We assume that different speakers never speak simultaneously. Without any erroneous detection of changes, homogeneous sequences of acoustic vectors (called *segments* in the following) are identified. Finally, a merging algorithm is applied to the segments to form homogeneous clusters according to speaker identity. The number of speakers is assumed to be known.

This paper is organized as follows: next section briefly describes the speech analysis technique for producing the acoustic vectors. We then present the splitting and merging procedures. Finally, experimental results are reported and potential improvements are discussed.

<sup>&</sup>lt;sup>1</sup>Automatic Speech Recognition (ASR) consists in decoding an acoustical speech signal into the text that has actually been uttered. Most speech recognizers use Hidden Markov Models (HMM) which have to be trained on large corpus of speech. Performances depend on the voice of the user which is most of time absent from the training database. To improve the recognition rate, one can either enlarge the training corpus or try to adapt the models to the user voice [4,5].

## 2. Speech Signal Representation

In order to represent raw speech into a form suited for computerbased speaker-tracking, the speech signal is first sampled at discrete time instants (see figure 1). Direct use of speech signal samples for speakertracking is too cumbersome and time-consuming. The sample sequence is instead processed to reduce the data stream and take advantage of speech redundancy. More especially, 24 *MEL-cepstrum* coefficients [6] are computed every 10ms from a 30ms analysis window (time while the speech signal can be considered as stationary). That is, two consecutive analysis frames overlap each other over 20ms.

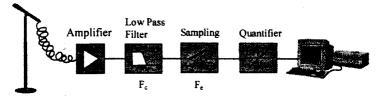


Figure 1: Sampling channel.  $F_c$  is the cutting frequency of the guard filter and  $F_c$  is the sampling frequency.

Ultimately, the audio stream is replaced by a sequence of acoustic vectors. Each acoustic vector is computed as follows:

## $\{s(n)\}_{n=1,\dots,N} \xrightarrow{\text{FFT+MEL}} \{S(k)\}_{k=1,\dots,24} \xrightarrow{\log|i|} \{\log|S(k)|\}_{k=1,\dots,24} \xrightarrow{\text{IDCT}} \{c(n)\}_{n=1,\dots,24}$

where  $\{s(n)\}\$  stands for the N speech samples gathered for each 30ms analysis frame, e.g. N = 240 for a 8 kHz sampling frequency. The *MEL-spectrum* vector  $\{S(k)\}\$  is obtained (see figure 2) by feeding a MEL filter bank with the power spectrum which can be efficiently computed by a Fast Fourier Transform (FFT) over the analysis frame. A logarithmic transform followed by an Inverse Discrete Cosine Transform (IDCT) finally provides the *MEL-cepstrum* coefficient vector  $\{c(n)\}\$  for the current frame. The MEL analysis technique approximates the non-linear human hearing process and provides weakly correlated coefficients which are meaningful parameters for speech recognition and speaker-tracking. Figure 3 shows the speech signal and the corresponding *MEL-cepstrum* coefficients for a 2-speaker utterance.



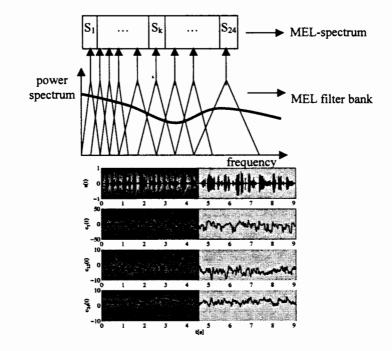


Figure 2: MEL filter bank.

Figure 3: Speech signal and MELcepstrum coefficients (only  $1^{at}$ ,  $12^{at}$  and  $24^{at}$  order coefficients are represented).

# 3. Segmentation Algorithm

## 3.1 Splitting Procedure

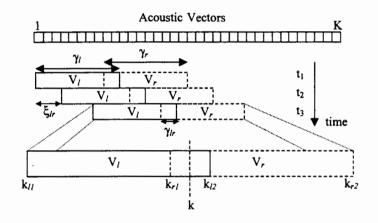
Several methods exist to detect speaker changes in a speech signal [7]. In this work, a distance-based method has been applied. Such a method identifies speaker changes as maxima of a distance, the so-called splitting distance  $D_S^{tr}$ , computed between two contiguous sets of acoustic vectors (also referred as window in the following) sliding along the speech signal.

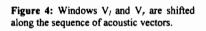
The following notation is used:

- $v_k$  = any acoustic vector; -
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- $V_i$  = any acoustic vector;  $V_i$  = any left window of acoustic vectors;  $V_r$  = any right window of acoustic vectors; K = total length of audio recording in number of acoustic vectors.

Algorithm (see figure 4):

- a. Initialize:  $k_0 = 1$
- b. Collect acoustic vectors in two neighbouring windows:
  - $k_{l1} = k_0$  $\begin{aligned} & k_{l2} = k_{l1} + \gamma_l \quad (\gamma_l \in \mathbb{Z} \text{ and } \gamma_l > 0 \text{ and } \gamma_l > \gamma_{lr}) \\ & k_{r1} = k_{l2} - \gamma_{lr} \quad (\gamma_{lr} \in \mathbb{Z} \text{ and } \gamma_{lr} \ge 0) \\ & k_{r2} = k_{r1} + \gamma_r \quad (\gamma_r \in \mathbb{Z} \text{ and } \gamma_r > 0 \text{ and } \gamma_r > \gamma_{lr}) \end{aligned}$





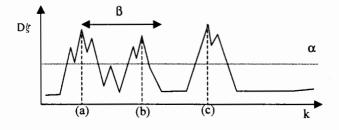


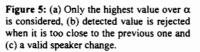
 $V_{l} = \{v_{k}\}, k = k_{l1}, \dots, k_{l2}$  $V_{r} = \{v_{k}\}, k = k_{r1}, \dots, k_{r2}$ 

where  $\gamma_l$ ,  $\gamma_r$  and  $\gamma_{lr}$  are the length of the left and right windows and the window overlap, respectively<sup>2</sup>.

- c. Compute distance  $D_S^{tr}$  between  $V_l$  and  $V_r$ . It is associated with the discrete time instant  $k = k_D/2 + k_{rl}/2$ .
- d. Shift analysis windows:  $k_0 = k_0 + \xi_{ir}$  with  $\xi_{ir}$  being the shift size.
- e. If  $k_{r2} < K$ , go to b.

The splitting distance  $D'_S$  is expected to be small when the left and the right windows contain acoustic vectors from the same speaker. It is expected to be high otherwise. Assume that the acoustic vectors from every window are drawn from a multidimensional Gaussian distribution. Measuring a distance between two windows, i.e. two sets of acoustic vectors, reduces to computing a statistical distance between two Gaussian distributions whose parameters have been estimated for each window. Many statistical distances may be proposed [8]. The Kullback-Leibler (KL) and





the Bhattacharyya (BHA) distances have been tested when full covariance matrices are assumed, while the Mahalanobis (MAH), the Euclidian (EUC) and the L2 distances have been considered in the case of diagonal matrices.

<sup>&</sup>lt;sup>2</sup> All the duration parameters are expressed in number of acoustic vectors.

That is, the distance  $dl^2$  between two Gaussian distributions N ( $\overline{\mu}_1, \Sigma_1$ ) and N ( $\overline{\mu}_2, \Sigma_2$ )<sup>3</sup> is given by one of the following equation:

$$\mathbf{d}_{\mathrm{KL}}^{^{12}} = \frac{1}{2} (\overline{\mu}_2 - \overline{\mu}_2)^{\mathrm{T}} (\Sigma_2^{-1} + \Sigma_1^{-1}) (\overline{\mu}_2 - \overline{\mu}_2) + \frac{1}{2} \operatorname{tr} (\Sigma_1^{-1} \Sigma_2 + \Sigma_2^{-1} \Sigma_1 - 2I)$$
(1)

$$\mathbf{d}_{\mathsf{BHA}}^{12} = \frac{1}{4} (\overline{\mu}_2 - \overline{\mu}_1)^{\mathsf{T}} (\Sigma_2 + \Sigma_1)^{-1} (\overline{\mu}_2 - \overline{\mu}_1) + \frac{1}{2} log \frac{|\Sigma_1 + \Sigma_2|}{2\sqrt{|\Sigma_1 \Sigma_2|}}$$
(2)

$$\mathbf{d}_{\mathsf{MAH}}^{12} = \frac{1}{n} (\overline{\mu}_2 - \overline{\mu}_1)^{\mathsf{T}} (\Sigma_2 \Sigma_1)^{-1} (\overline{\mu}_2 - \overline{\mu}_1) = \frac{1}{n} \sum_{k=1}^{n} \frac{(\mu_{2k} - \mu_{1k})^2}{\sigma_{1k} \sigma_{2k}}$$
(3)

$$d_{EUC}^{12} = (\overline{\mu}_2 - \overline{\mu}_1)^T (\overline{\mu}_2 - \overline{\mu}_1) = \sum_{k=1}^n (\mu_{2k} - \mu_{1k})^2$$
(4)

$$\mathbf{d}_{L2}^{12} = \sqrt{\int_{\mathbf{X}^{*}} \left[ N\left(\overline{\mu}_{2}, \Sigma_{2}\right) - N\left(\overline{\mu}_{1}, \Sigma_{1}\right) \right]^{2} \mathrm{d}\overline{\mathbf{X}}}$$
(5)

Once a distance has been computed for all window pairs, maxima are detected according to a simple criterion based on two thresholds  $(\alpha,\beta)$  as shown on figure 5. The threshold  $\alpha$  corresponds to the minimum value for detecting a peak. The parameter  $\beta$  guarantees a minimum delay between two consecutive detected changes. It corresponds to the minimum time while a speaker is not expected to be interrupted.

In order to increase the effectiveness of the splitting procedure, we propose to apply first a K-means clustering [9] over each window. That is, the acoustic vectors of left and right windows  $V_i$  and  $V_r$  are organized into  $N_K$  clusters. Members of each cluster are assumed to be drawn from a multidimensional Gaussian distribution. Let define  $V_i^{\prime} \sim N(\overline{\mu}_i^{\prime}, \Sigma_i^{\prime})$  as the Gaussian distribution associated with cluster 'i' of the left window. Likewise,  $V_j^{\prime} \sim N(\overline{\mu}_j^{\prime}, \Sigma_j^{\prime})$  is defined as the Gaussian distribution associated with cluster 'j' of the right window. The splitting distance  $D_S^{\prime\prime}$  between the left and the right windows is now computed as follows:

$$D_{S}^{lr} = \frac{d^{lr}}{d} \times \frac{max_{i,j} d_{ij}^{lr}}{min_{i,j} d_{ij}^{lr}} \quad 1 \le i, j \le N_{K}$$
(6)

 $<sup>^3</sup>$   $N(\overline{\mu},\Sigma)$  denotes a multidimensional Gaussian distribution with a mean vector  $\overline{\mu}\,$  and a covariance matrix  $\Sigma$ .

where  $d_{ij}^{lr}$  stands for the statistical distance between the Gaussian distribution corresponding to cluster 'i' in the left window and the one corresponding to cluster 'j' in the right window  $\left(d\left[N(\overline{\mu}_{i},\Sigma_{i}^{l}), N(\overline{\mu}_{j}^{r},\Sigma_{j}^{r})\right]\right)$ , and  $d^{lr}$  denotes the statistical distance between the left and the right windows  $\left(d\left[N(\overline{\mu}^{r},\Sigma^{r}), N(\overline{\mu}^{r},\Sigma^{r})\right]\right)$  without any clustering, while d is defined as the mean  $d^{lr}$  over all window pairs. Those distances are computed using one of the previous definitions (1)-(5). Figure 6 clearly shows that (6) is

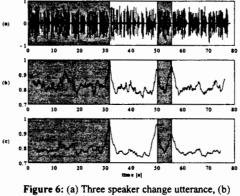


Figure 6: (a) Inree speaker change utterance, (b) splitting distance without K-means clustering  $(D_S^{lr}$  is given by (2)) and (c) splitting distance with K-means clustering (definition (6) is used in combination with (2)).

more effective for detecting the speaker changes: actual peaks are strengthened while peaks at no-change locations are less disturbing for the peak detector. To reduce the computational burden, the number of clusters has to be chosen judiciously (typically three clusters are computed). Moreover, in order to fasten the clustering, the centroids of the current analysis window are initialized with the centroids of the previous one, so strong adaptation is needed only when there is a significant acoustic change.

#### 3.2 Merging Procedure

Once the audio stream has been splitted into homogeneous segments, i.e. sets of acoustic vectors, according to speaker identity, an agglomerative hierarchical clustering is performed to group the segments uttered by identical speakers.

First, each segment is represented by a codebook consisting in a set of N<sub>1</sub> centroids. This codebook is once again estimated by a K-means clustering over the acoustic vectors in the segment. Next, a bottom-to-up clustering is performed over the codebooks. At each step, the two nearest clusters of the current partition are merged to obtain a new partition, one cluster smaller. Several agglomerative schemes have been tested (see figure 7) [10]: single linkage (SL), complete linkage (CL), average linkage between groups (ALBG) and average linkage within groups (ALWG). They involve computing the distance between codebooks two by two, the so-called merging distance  $D_{M}^{12}$ . The following distance is proposed [11]:

$$D_{M}^{1,2} = \frac{\sum_{i=1}^{N_{1}} \alpha_{1i} + \sum_{j=1}^{N_{1}} \beta_{2j}}{\sum_{i,j \text{ only for } \alpha_{1i}} \sigma_{0_{1}} \sigma_{2j} + \sum_{i,j \text{ only for } \beta_{2i}} \sigma_{2j} \sigma_{1i}}$$
(7)

with

$$\alpha_{1i} = \min o_{1i} o_{2j} d_{ij} \tag{8}$$

$$\beta_{2j} = \min o_{2j} o_{1j} d_{ij} \tag{9}$$

where  $d_{ij}$  denotes the Euclidian distance between the centroid 'i' from codebook 1 and the centroid 'j' from codebook 2,  $o_{1i}$  is the number of acoustic vectors from segment 1 assigned to the centroid 'i' and  $o_{2j}$  is the number of acoustic vectors from segment 2 assigned to the centroid 'j'.

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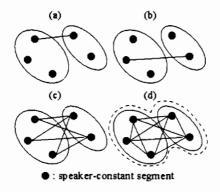


Figure 7: Different schemes for agglomerative clustering: (a) single linkage, (b) complete linkage, (c) average linkage between groups and (d) average linkage within groups.

# 4. Experimental Results

The algorithm described in the previous sections has been tested with broadcast news recordings provided by the BBC. These evaluation data consist of radio news bulletins about 30 minutes long each, and have been hand-segmented according to speaker identity. In this paper, we report typical results for a 31 minutes recording. The main speaker pronounced 17 segments while 20 speakers only pronounced 1 segment and the 28 remaining segments where uttered by 13 speakers.

## 4.1 Splitting Procedure

Several values for the window length, the window overlap and the window shift have been tested. We observed that increasing those parameters has a smoothing effect on the splitting distance curve and especially the window overlap. The following setup is chosen: the window length, the window overlap and the window shift are set to 3s ( $\gamma_l = \gamma_r = 300$ ), 500ms ( $\gamma_{lr} = 50$ ) and 50ms ( $\xi_{lr} = 5$ ), respectively. As seen before, the peak detection algorithm is based on a two-threshold criterion. Those thresholds depend on the distance definition and have to be hand-tuned but stay constant for each recording.

The performance of the splitting procedure is given in terms of Detection Rate (DR) and False Alarm Rate (FAR): 0)

$$DR[\%] = \frac{\#Detected True Changes}{\#True Changes} \times 100$$
(10)

$$FAR[\%] = \frac{\#Erroneously Detected Changes}{\#Detected Changes} \times 100$$
(11)

Those values have been calculated for each definition of the statistical distance  $d_x^{12}$  in combination with (6) (see Table 1). Of course, it is possible to increase DR by decreasing the threshold  $\alpha$ . Actually, this leads to detect more peaks but it also increases FAR. The latter errors may be compensated by a reliable merging procedure. However, the shorter the segments are, the more badly the segment codebooks are estimated and the less reliable the merging procedure is. A trade-off between splitting and merging performances has to be made and missed detections have to be tolerated.

Distance	DR [%]	FAR [%]
BHA	97.01	7.46
KL	93.51	11.9
MAH	94.02	8.34
EUC	94.43	8.34
L2	96.61	8.65

Table 1: Comparison of DR and FAR for various cluster distances.

#### 4.2 Merging Procedure

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The performances of the merging procedure are measured by computing the Rand Index value I<sub>RAND</sub> [12] for the 34-cluster partition of 65 segments from the 34-speaker recording mentioned previously. It gives the number of pair of segments from the same speaker assigned to different clusters or segments from different speakers assigned to the same cluster. The Rand Index value IRAND is computed as follows:

$$I_{\text{RAND}} = \frac{1}{2} \left[ \sum_{i=1}^{N_{c}} n_{i\bullet}^{2} + \sum_{j=1}^{N_{s}} n_{\bullet j}^{2} \right] - \sum_{i=1}^{N_{c}} \sum_{j=1}^{N_{s}} n_{ij}^{2}$$
(12)

where  $n_{ij}$  denotes the number of segments from speaker 'j' in cluster 'i',  $n_{i*}=\sum_{i}n_{ij}$ ,  $n_{*j}=\sum_{i}n_{ij}$ ,  $N_S$  is the number of speakers and  $N_C$  is number of

clusters. Ideally, I<sub>RAND</sub> is equal to zero. Figure 8 shows I<sub>RAND</sub> as a function

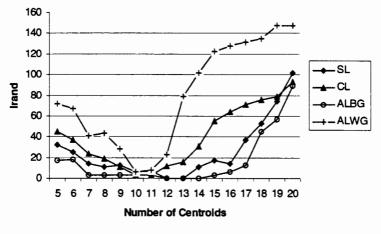


Figure 8: Clustering performances.

of the codebook size, i.e. the number of centroids used to model each segment, for different agglomerative schemes. For a well-chosen codebook size, the merging procedure performs well or even perfectly. Below the optimal codebook size, the codebooks do not model well enough the interspeaker variability. Over the optimal codebook size, the codebooks are not well designed because too many centroids have to be estimated and too few acoustic vectors are assigned to each centroid. In both cases, mismodeling of segments is performed, leading to classification errors during the merging procedure. Best results are obtained for the ALBG scheme.

#### 5. Discussion

Compared to previous results [13,14], our method provides satisfying results. However, some improvements may be suggested. First, the thresholds  $\alpha$  and  $\beta$  should be data-driven and automatically tuned. Next, model selection techniques such as *Bayesian Information Criterion* (BIC) can be used to improve the splitting procedure by reducing the FAR [7]. In the framework of THISL, news recordings are transcribed for indexing. So the transcriptions, i.e. the word sequences, are available and may be used for helping the splitting procedure. Since a speaker change can only occur between two different words, positioning the windows V<sub>l</sub> and V<sub>r</sub> at word boundaries improves the precision of the peak detection. Tests have shown that using this technique allows to reach a high accuracy in detection of speaker changes.



Besides, the assumption that the number N<sub>S</sub> of speakers is a priori known should be relaxed. One can suggest resorting to blind clustering. That is, the merging procedure has to stop automatically when the partition counts as many classes as speakers without knowing the number Ns of speakers. For example, minimizing I<sub>RAND</sub> may be used as a criterion to pick up blindly the Ns-cluster partition. Other criterions based on maximizing some partition purity may be used [7].

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